

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No. ....09/696,752  
Filing Date .....Oct 24, 2000  
Confirmation No.....3013  
Inventorship .....Hunt  
Applicant ..... Microsoft Corporation  
Group Art Unit .....2155  
Examiner ..... Nguyen, Thu Ha T  
Attorney's Docket No. ....MSL-663US  
Title: System and Method Providing Automatic Policy Enforcement in a  
Computer Service Application

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**INFORMATION DISCLOSURE STATEMENT AND  
CERTIFICATION UNDER 37 CFR 1.97(e)**

Technology Center 2100

The citations listed, copies attached, and are submitted in compliance with the duty of disclosure defined in 37 CFR §1.56. The Examiner is requested to make these citations of official record in this application.

I hereby certify that to my knowledge, after reasonable inquiry, no item of information contained in the accompanying PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application or was known to any individual designated in §1.56 (c) more than 3 months prior to the filing of this statement.

Respectfully Submitted,

Date: 5/11/04

By: Brian G. Hart  
Brian G. Hart  
Reg. No. 44,421

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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(use as many sheets as necessary)*

Sheet

1

of

2

**Complete if Known**

Application Number

09/696,752

Filing Date

Oct 24, 2000

**First Named Inventor**

Hunt

### Group Art Unit

2155

Examiner Name

NGUYEN, THU HA T

Attorney Docket Number

MS1-663US

## U.S. PATENT DOCUMENTS

[illegible]

**FOREIGN PATENT DOCUMENTS**

[illegible]

**Examiner  
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Date  
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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**Complete if Known**

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<b>First Named Inventor</b>	Hunt
<b>Group Art Unit</b>	2155
<b>Examiner Name</b>	NGUYEN, THU HA T
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Sheet	2	of	2
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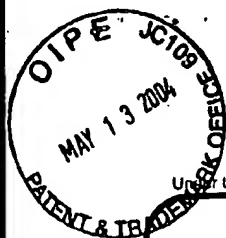
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2155

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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/696,752
	Filing Date	Oct 24, 2000 MAY 17 2004
	First Named Inventor	Hunt Technology Center 2100
	Group Art Unit	2155
	Examiner Name	NGUYEN, THU HA T
Total Number of Pages in This Submission	Attorney Docket Number	MS1-663US

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Brian G. Hart; 44,421
Signature	<i>Brian Hart</i>
Date	5/11/04

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: May 11, 2004	
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